

Asset Resolution Limited

5.1 Continuous Disclosure Policy



In line with our belief, our values and our vision, Asset Resolution Limited (“ARL”) is committed to ensuring that our investors and the market are provided with full and timely information about us.

This Policy provides a framework of principles which empower our people to make decisions on behalf of ARL.

ARL’s Disclosure Policy principles are:

- We must not give information that is for release to the market to any person until it has released the information to the National Stock Exchange of Australian (NSX) and we have received an acknowledgement that the NSX has released that information to the market;
- We must inform the NSX, its shareholders and holders of its other listed securities as soon as reasonably practicable of any price-sensitive information;
- We must promptly respond to enquiries from the NSX and, if appropriate, issue an announcement.
- Management must undertake all actions within its power to ensure all potentially disclosable information is considered for disclosure;
- All potentially disclosable information is to be reported to the Disclosure Committee (if established) or the Board in the absence of a Disclosure Committee;
- All officers and employees staff must not try to hide or delay ‘material news’, especially when the information is likely to impact the price of securities of the Company;
- The only Company representatives authorised to speak on behalf of the Company to investors and stockbroking analysts are the Chair, CEO, CFO and Head of Investor Relations (where appointed);
- The Company must lodge all presentation materials relating to such briefings sessions with the NSX prior to the presentation;
- Any communication with investment market participants during the period between the end of a financial reporting period and the subsequent publication of the report must only be undertaken with the specific knowledge and agreement of the Chair (or CEO if appointed);
- No information may be disclosed during any analyst site visits which may have a material effect on the price or value of the Company’s securities unless it has already been announced on the NSX;
- The Company Secretary is the person with the primary responsibility for all communication with the NSX; and
- Where any person believes any information has been disclosed inadvertently during a briefing, meeting, visit or presentation which may have a material effect on the price or value of the Company’s securities, they must immediately report the matter to the Disclosure Committee (if established) or the Board in the absence of a Disclosure Committee.

This Policy will be reviewed annually by the Board.